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"Can You Handle the Truth?"

Hurricane Katrina: There's More Where that Came From By Norman M. Goldfarb

Hurricane Katrina is a wake-up call for the clinical research industry. Hurricane Rita followed up with much less damage, but the message is clear: Mother Nature will be back.

Let's Look at the Numbers

Natural disasters in the United States are not uncommon – the Federal Emergency Management Agency (FEMA) declared 68 of them last year. Chart 1 presents the number of natural disasters per year. Table 1 lists the largest natural disasters in recent years. Table 2 lists all the FEMA-declared natural disasters in 2004. Natural disasters affect most parts of the nation – 41 states and territories had a least one in 2004. In 2004, there was at least one natural disaster in 10 of the twelve months.

Global warming (man-made or otherwise) and increasing population densities in disaster-prone areas are likely to increase both the frequency and severity of natural disasters. The frequency of category 4 and 5 hurricanes, cyclones and typhoons has increased 80% over the past 35 years.¹ The Association of British Insurers projects a 75% increase in wind-related (not flood-related) insured losses from hurricanes in the U.S. over the next 80 years, equivalent to an extra two or three Hurricane Andrews per year.² These hurricanes will not restrict themselves to the Gulf Coast; states as far north as the mid-Atlantic are vulnerable. As clinical research globalizes, disasters such as famine and epidemic disease will impact the industry. The threat of un-natural disasters such as war and terrorism is probably here to stay.

Business as Usual

Most businesses buy insurance to protect against potential losses. However:

- Most property owners in New Orleans did not have flood insurance.
- Large disasters can bankrupt insurance companies.
- How do you recover the value of study records and subjects that have been washed away?

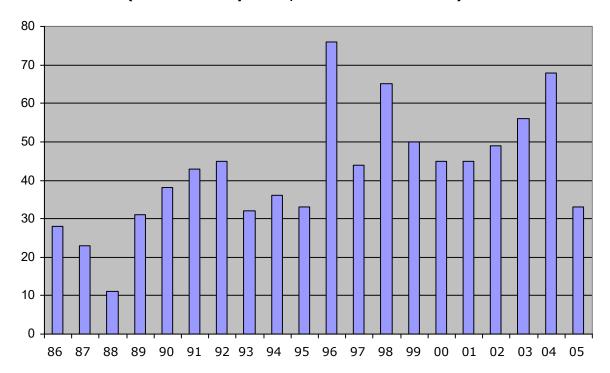
Most business contracts include force majeure (act of God) clauses that exclude contractual protections in a natural disaster. ("Gee, we thought the files would be safe in the basement. Sorry about that.") Hurricane Katrina did not just wash away buildings; entire clinical research sites are gone and will never return.

Now What?

The clinical industry is now faced with three important questions:

- 1. How do we recover from Hurricane Katrina?
- 2. Do we want to prepare, as an industry, for the next natural disaster?
- 3. If we do prepare, what do we do, to accomplish what objectives?

Chart 1. Number of U.S. Natural Disasters by Year (As Declared by FEMA, 2005 is Year-to-Date)^{a,b,3}



- a. FEMA counts each affected state or territory as a separate disaster.
- b. Something changed in 1996 that increases the reported counts.

Answer to Question 1

The clinical research community clearly was not prepared – as an industry – for Hurricane Katrina. (What industry was?) Many individuals, businesses, hospitals, etc. have made heroic efforts to do what they can, but such efforts are, by definition, fragmentary. Relief efforts by some industry associations and the new Clinical Research Relief Organization (CRRO) are only now gearing up.^{4,5}

The impact of Hurricane Katrina will last for months, if not years, so we still have plenty of time to help the victims put their lives back together.

The answer to Question 1 seems clear: we each contribute what we can, with as much collaboration as possible for maximum effect.

Answer to Question 2

If the clinical research industry had had a proper disaster management plan and relief organization in place, study records would have been located or backed-up in secure off-site locations, sites would have relocated and been fully operational in a few days, and far fewer subjects would have been lost to follow-up. Who is going to file all the SAE reports?

The impact of the alternative we now face is far broader than individual people and sites; entire studies (and their sponsors) have been compromised. Even worse, we have broken faith with our study subjects by not being there when they needed us most. How many study subjects were unable to evacuate New Orleans because they could not afford transportation? How many were relying on clinical studies for medications and other treatment?

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The answer to Question 2 seems clear: our efforts will be ten-times more effective with an organization and plan in place. We do not need a single organization; a network of collaborating organizations that can quickly mobilize their members will be more effective.

Table 1. Top Recent U.S. Natural Disasters (Measured in FEMA Relief Costs)⁶

| Event | Year | Cost (\$B) |
|------------------------|------|------------|
| Hurricane Hugo | 1989 | 1.3 |
| Hurricane Andrew | 1992 | 1.8 |
| Midwest Floods | 1993 | 1.1 |
| Northridge Earthquake | 1994 | 7.0 |
| Hurricane Georges | 1998 | 2.3 |
| Tropical Storm Allison | 2001 | 1.4 |
| Hurricane Frances | 2004 | 1.4 |
| Hurricane Jeanne | 2004 | 1.4 |
| Hurricane Charley | 2004 | 1.6 |
| Hurricane Ivan | 2004 | 1.9 |
| Hurricane Katrina | 2005 | ??? |

Answer to Question 3

Disasters will be a continuing feature of the clinical research landscape, so it is worth the effort to put in place the following elements of an industry disaster management program:

- Network of collaborating organizations ready to ramp-up quickly when a disaster appears on the horizon
- Individuals and organizations standing by to help locate victims and provide transportation, housing, office space, frequent flyer miles, etc.
- Standards, procedures, inspections and certification for disaster preparedness, e.g., for records storage, site evacuation, and continuing contact with subjects
- Handbook to manage disaster recovery

With these measures in place, we can answer Question 3 with the following objectives:

- Minimize losses and disruption to people and organizations
- Reduce business risk to the industry
- Build our community, resulting in significant benefits not just in morale but in research quality, timeliness and cost
- Improve the industry's reputation with study subjects and the public in general

We can help ourselves in ways that the Red Cross and other relief organizations cannot. You are now officially on notice. When the next Hurricane Katrina blows into town, how are you going to explain to your boss, your employees, your study subjects, and yourself why you did absolutely nothing to prepare?

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Table 2. 2004 U.S. Natural Disasters Declared by FEMA³

| Date | Event | State or Territory |
|--------|--|--------------------------------|
| Jan 13 | Earthquake | California |
| Jan 13 | High Winds, High Surf and Heavy Rainfall Associated With Tropical Cyclone Heta | American Samoa |
| Jan 26 | Severe Storms, Flooding, Mudslides and Landslides | Ohio |
| Feb 5 | Severe Storms, Flooding, Snow Melt, and Ice Jams | Maine |
| Feb 13 | Severe Ice Storm | South Carolina |
| Feb 19 | Severe Winter Storms | Oregon |
| Apr 10 | Typhoon Sudal | Federated States of Micronesia |
| Apr 21 | Flooding | Massachusetts |
| Apr 23 | Severe Storms and Tornadoes | Illinois |
| Apr 29 | Severe Storms and Flooding | New Mexico |
| May 5 | Severe Storms, Flooding, and Ground Saturation | North Dakota |
| May 7 | Severe Storms, Flooding and Landslides | Arkansas |
| May 25 | Severe Storms, Tornadoes and Flooding | Nebraska |
| May 25 | Severe Storms, Tornadoes, and Flooding | Iowa |
| Jun 3 | Severe Storms and Flooding | Ohio |
| Jun 3 | Severe Storms, Tornadoes, and Flooding | Indiana |
| Jun 8 | Severe Storms and Flooding | Louisiana |
| Jun 7 | Severe Storms, Flooding and Landslides | West Virginia |
| Jun 10 | Severe Storms, Tornadoes, Flooding, and Mudslides | Kentucky |
| Jun 11 | Severe Storms, Tornadoes, and Flooding | Missouri |
| Jun 15 | Severe Storms, Tornadoes, and Flooding | Virginia |
| Jun 18 | Severe Storms and Flooding | Wisconsin |
| Jun 30 | Severe Storms, Tornadoes and Flooding | Michigan |
| Jun 30 | Severe Storms and Flooding | Arkansas |
| Jun 30 | Flooding As A Result Of A Levee Break | California |
| Jul 16 | Severe Storms and Flooding | New Jersey |
| Jul 20 | Severe Storms and Flooding | South Dakota |
| Jul 29 | Flooding, High Surf, High Winds, and Wind-Driven Rain associated with Typhoon Tingting | Northern Mariana Islands |
| Jul 29 | High Winds, Flooding and Mudslides as a result of Tropical Storm Tingting | Guam |
| Aug 3 | Severe Storms and Flooding | New York |
| Aug 3 | Severe Storms, Flooding and Tornadoes | Kansas |
| Aug 6 | Severe Storms, Flooding, and Landslides | West Virginia |
| Aug 6 | Severe Storms and Flooding | Kentucky |
| Aug 6 | Severe Storms and Flooding | Pennsylvania |
| Aug 13 | Hurricane Charley and Tropical Storm Bonnie | Florida |
| Aug 26 | Wildland Fire | Nevada |
| Aug 26 | Flooding, High Surf, Storm Surge, and High Winds as a result of Super Typhoon Chaba | Northern Mariana Islands |
| Sep 1 | Tornadoes and Flooding | Indiana |
| Sep 1 | Hurricane Charley | South Carolina |
| Sep 3 | Severe Storms, Flooding and Tornadoes Associated with | Virginia |

| | Tropical Depression Gaston | |
|--------|---|-------------------|
| Sep 4 | Hurricane Frances | Florida |
| Sep 10 | Tropical Storm Frances | North Carolina |
| Sep 15 | Tropical Storm Gaston | South Carolina |
| Sep 15 | Hurricane Ivan | Louisiana |
| Sep 15 | Hurricane Ivan | Alabama |
| Sep 15 | Hurricane Ivan | Mississippi |
| Sep 16 | Hurricane Ivan | Florida |
| Sep 17 | Tropical Storm Jeanne and Resulting Landslides and Mudslides | Puerto Rico |
| Sep 18 | Hurricane Ivan | North Carolina |
| Sep 18 | Hurricane Ivan | Georgia |
| Sep 19 | Severe Storms and Flooding associated with Tropical Depression Frances | Pennsylvania |
| Sep 19 | Severe Storms and Flooding | Ohio |
| Sep 19 | Tropical Depression Ivan | Pennsylvania |
| Sep 20 | Severe Storms, Flooding and Landslides | West Virginia |
| Sep 23 | Severe Storms and Flooding | Vermont |
| Sep 24 | Tropical Storm Frances | Georgia |
| Sep 26 | Hurricane Jeanne | Florida |
| Sep 30 | Severe Storms, Flooding, and Tornadoes | Kansas |
| Oct 1 | Tropical Depression Ivan | New Jersey |
| Oct 1 | Severe Storms and Flooding | New York |
| Oct 1 | Tropical Depression Ivan | New York |
| Oct 7 | Tropical Storm Frances | South Carolina |
| Oct 7 | Tropical Storm Jeanne | US Virgin Islands |
| Oct 7 | Severe Storms and Flooding | Tennessee |
| Oct 7 | Severe Storms and Flooding | Minnesota |
| Oct 18 | Severe Storms and Flooding from the remnants of Hurricane Jeanne | Virginia |
| Nov 15 | Severe Winter Storm, Tidal Surges and Flooding | Alaska |
| Nov 15 | Severe Storms, Tornadoes and Flooding from the remnants of Hurricane Jeanne | Delaware |

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